



Attorney's Docket No.: 11577-004001

#8

11-16-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dino J. Pionzio, Jr., et al.
Serial No. : 09/828,500
Filed : April 6, 2001
Title : WIND FARM CONTROL SYSTEM

Art Unit : 2121
Examiner : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JUL 10 2003

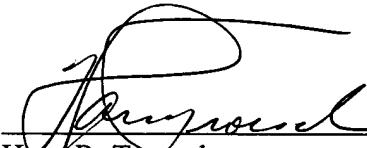
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,



Hans R. Troesch
Reg. No. 36,950

Date: 30 June 2003
Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063
Telephone: (650) 839-5070
Facsimile: (650) 839-5071

10303426.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

06/30/03

Date of Deposit



Diana Bradley

Signature

Diana Bradley

Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 11577-004001	Application No. 09/828,500
Information Disclosure Statement by Applicant (Use several sheets if necessary) <small>(37 CFR 1.98(b))</small>		Applicant Dino J. Pionzio, Jr., et al.			
		Filing Date April 6, 2001	Group Art Unit 2121		

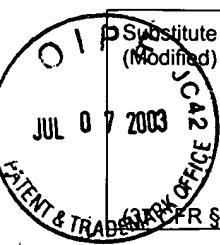
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED
JUL 10 2003
Technology Center 2100

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	"Wind – A Reliable and Complete Concept" Mita-Teknik a/s,
	AR	"Conc.: Protest against US Patent Application 20020029097 Pionzio, Dino J. Jr., et al., 19 February 2003
	AS	"DOS Park Management System; The DOS Park Management System is produced according to the demands in the DS/EN ISO 9001-standard", Mita-Teknik a/s
	AT	"DOS Park Management Software for Wind Turbines (Ver. 4.xx)" SCADA System, January, 20, 2003 Extract from the Sales Data Base of Mita-Teknik (from the production start to August 2001)

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11577-004001	Application No. 09/828,500
Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small>		Applicant Dino J. Pionzio, Jr., et al.		
		Filing Date April 6, 2001	Group Art Unit 2121	

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AU	"DOS Management Software for Wind Turbines (Ver 2.xx-4.xx)", SCADA system, January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (From production start to the introduction of a new system, WPMS)
	AV	GATEWAY SCADA System, Mita-Teknik a/s
	AW	"Park Zafarana KFW – 1999", Mita-Teknik a/s
	AX	"Wind Power Management Software for Wind Turbines (WPMS Ver. 5.xx), SCADA System, new part numbers), Extract from the Sales Data Base of Mita-Teknik (from introduction of new part numbers in 1998 to Dec 2002)
	AY	"Wind Power Management Software for Wind Turbines (WPMS Ver. 5.xx), SCADA System, old part numbers), January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from the production start to the change of part number of the WPMS Software)
	AZ	"Multi-Protocol Management Software – Logins for all Types of Wind Turbines", SCADA System, Extract from the Sales Data Base of Mita-Teknik (From 1999 to June 2002)
	AAA	"Enclosure 3, CS Control System, The CS Control system is a module developed especially for use in wind turbines"; Mita-Teknik a/s
	ABB	"CS Controllers for Wind Turbines", January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from production start to May 2001)
	ACC	"IC 1000 Controller for Wind Turbines, Hydro Power Plants, Weather Stations and Pitch Control", January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from the production start to December 2002)
	ADD	"IC 1000 Control Unit", Mita-Teknik a/s
	AEE	"Controllers for Hydropower Installation... Encl. 3", Mita-Teknik a/s
	AFF	"NCS 340 Controller for Wind Turbines", January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from production start to 1999)
	AGG	"NCS 340A Converter Module; The NCS 340A is a module developed especially for use in wind turbines", Mita-Teknik a/s
	AHH	"WP 1000 Controller for Wind Turbines, Hydro Power Plants and Weather Stations", January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from production start to 1999)
	AII	"Wind Power 1000", Mita-Teknik a/s
	AJJ	"WP 2000 Controllers for Wind Turbines", January 20, 2003 Extract from the Sales Data Base of Mita-Teknik (from production start to June 2002)
	AKK	"Windpower 2000", Mita-Teknik a/s
	ALL	"WP 3000 Controller for Wind Turbines", January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from the production start to December 2002)
	AMM	"Windpower 3000", Mita-Teknik a/s
	ANN	"WP 3100 Controller" Mita-Teknik a/s
	AOO	"WP4000 Controller", Mita-Teknik a/s
	APP	"IC 1000 Control Unit", Mita-Teknik a/s

RECEIVED

JUL 10 2003

Examiner Signature	Date Considered
Technology Center 2100	
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11577-004001	Application No. 09/828,500
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR 1.98(b))		Applicant Dino J. Pionzio, Jr., et al.		
		Filing Date April 6, 2001	Group Art Unit 2121	

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQQ	"Parallel Data Acquisition Systems", January 20, 2003, Extract from the Sales Data Base of Mita-Teknik (from production start to June 2002)
	ARR	Enclosure 5, Example of Park Configuration Systems, Suzlon Energy Limited, Mita-Teknik a/s
	ASS	"Enclosure 5, Example of Park Configuration Systems, Example 1: To increase the communication speed, never connect....on the PC" Suzlon Energy Limited, Mita-Teknik a/s
	ATT	"Enclosure 5, Example of Park Configuration Systems, Example 2: If the ground is hilly use...at each punction", Suzlon Energy limited, Mita-Teknik a/s
	AUU	"Enclosure 5, Example of Park Configuration Systems, Optic fibre communication, Nordex Balke-Durr GmbH, 1999, Mita-Teknik a/s
	AVV	"Enclosure 5, Example of Park Configuration Systems, San Clemente Island Park Configuration, Mita-Teknik a/s
	AWW	"Enclosure 5, Example of Park Configuration Systems, Vorschlag zur Kommunikation mit Leitungsregulierung über WP 3000 Grid", Nordex NPV Projekt Utze 2002, Mita-Teknik a/s
	AXX	"Enclosure 5, Example of Park Configuration Systems" N.E.G. Micon, Mita-Teknik a/s
	AYY	"Conc.: Protest against US Patent Application 20020029097 Pionzio, Dino J. Jr., et al., 10 June 2003
	AZZ	Table of contents, Mita-Teknik a/s

RECEIVED
 JUL 10 2003
 Technology Center 2100

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	